Under the Paperwork Reduction Act of 1995, no persons are required to

| INFORMATION DISCLOSURE                  |
|---|
| STATEMENT BY APPLICANT                  |
| ( Not for submission under 37 CFR 1.99) |
|   |

| Application Number   |       | 10811154       |  |  |  |  |
|----------------------|-------|----------------|--|--|--|--|
| Filing Date          |       | 2004-03-29     |  |  |  |  |
| First Named Inventor | Petta |                |  |  |  |  |
| Art Unit             |       | 3634           |  |  |  |  |
| Examiner Name        | Grego | ory J. Strimbu |  |  |  |  |
| Attorney Docket Numb | er    | 3445-151       |  |  |  |  |

|                      |            |   |                              |                 | U.S.   | PATENTS   |   |          | Remove      |                             |   |
|----------------------|------------|---|------------------------------|-----------------|--|---|---|----------|-------------|-----------------------------|---|
| Examiner<br>Initial* | Cite<br>No | Patent Number                           | Kind<br>Code <sup>1</sup>    | Issue E         | Issue Date Name of Patentee or Applicant of cited Document |   | Pages,Columns,Lines w<br>Relevant Passages or R<br>Figures Appear |          |             |                             |   |
|                      | 1          |   |                              |                 |  |   |   |          |             |                             |   |
| If you wis           | h to a     | dd additional U.S. Pate                 | nt citatio                   | n inform        | ation pl   | l<br>lease click the                            | Add button.   |          | Add         |                             | _ |
|                      |            |   | U.S.P                        | ATENT           | APPLI  | CATION PUB                                      | LICATIONS   |          | Remove      |                             | _ |
| Examiner<br>Initial* | Cite<br>No | Publication Number                      | Kind<br>Code <sup>1</sup>    | Publica<br>Date | ition  | Name of Patentee or Applicant of cited Document |   | Releva   |             | Lines where<br>ges or Relev |   |
|                      | 1          |   |                              |                 |  |   |   |          |             |                             |   |
| f you wis            | h to a     | dd additional U.S. Publ                 |                              | ,               |  |   |   | d button | _           |                             |   |
|                      | _          |   | _                            | FOREIG          | SN PAT   | ENT DOCUM                                       | IENTS   |          | Remove      |                             | _ |
| Examiner<br>Initial* | Cite<br>No | Foreign Document<br>Number <sup>3</sup> | Country<br>Code <sup>2</sup> |                 | Kind<br>Code <sup>4</sup>                                  | Publication<br>Date                             | Name of Patente<br>Applicant of cited<br>Document                 | or ,     | vhere Rel   | or Relevant                 | т |
|                      | 1          | 2007341                                 | CA                           |                 |  | 1991-07-08                                      | Dor-Seal Limited  |          |             |                             |   |
|                      | 2          | 1305364                                 | CA                           |                 |  | 1992-07-21                                      | Baultar I.D. Inc.   |          | English Abo | stract                      | Σ |

#### 

| Examiner<br>Initials* | Cite<br>No | include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), dale, pages(s), volume-issue number(s), publisher, city and/or country where published. | Ţ5 |  |
|-----------------------|------------|---|----|--|
|                       | 1          | Canadian Intellectual Property Office. Bibliographic Data for Canadian Patent No. 818,193. http://patentis1.ic.gc.ca/<br>details/patent_number=818193. Accessed: May 16, 2007. pgs. 2.  |    |  |

If you wish to add additional non-patent literature document citation information please click the Add button Add

Examiner Signature Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 See Kind Codes of USPTIO Patent Documents at year LISPTIO, CODE or MEPE 901.6.2 Editor office that issued the document, by the holietier code (WIPO Standard ST.3). 3 For disparsee patent obcuments, by the indication of the year of the Propriet many percectible seed number of the Pederal concurrent, and focus on the second of the proprieties are not only the proprieties symbols as indicated on the document under WIPO Standard ST.16 if possible. 3 Applicant is to place a check mark here if Expolite indications therefallow in settled.

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT ( Not for submission under 37 CFR 1.99)

| Application Number         |    | 10811154       |  |  |  |
|----------------------------|----|----------------|--|--|--|
| Filing Date                |    | 2004-03-29     |  |  |  |
| First Named Inventor Petta |    |                |  |  |  |
| Art Unit                   |    | 3634           |  |  |  |
| Examiner Name Grego        |    | ory J. Strimbu |  |  |  |
| Attorney Docket Numb       | ec | 3445-151       |  |  |  |

#### CERTIFICATION STATEMENT

| Diagra can | 37 | CFR . | 1 97 | and | 1 02 | to | make the | annonnista | selection(s): |
|------------|----|-------|------|-----|------|----|----------|------------|---------------|
|            |    |       |      |     |      |    |          |            |               |

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patient office in a counterpart foreign application not more than three months prior to the filling of the information disclosure statement. See 37 CFR 1.97(eVI).

### OR

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquity, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 156(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 157(e).

- See attached certification statement.
- Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- \_ ....

#### SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

| _          |                  |                     |            |
|------------|------------------|---------------------|------------|
| Signature  | /JAR/            | Date (YYYY-MM-DD)   | 2007-05-16 |
| Name/Print | James A. Raakman | Registration Number | 56,624     |

This collection of information is required by 3T CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is for lie fand by the USPTO to process) an application. Confidentiality is governed by \$5 U.S. C. 12.0 and 3T CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application from the USPTO. Time will vary depending upon the individual case: Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. operatment of Commence, P.O. 8bx 1445, Alexandrin, V.S. 2311-1450, D.O. NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. 8bx 1459, Alexandria, V.S. 2311-1450.

## Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the stacked form related to a petient application or patient. Accordingly, pursuant to the requirements of the Act, please be advised that (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) familishing of the information solicided is civulating; and (3) the principal purpuse for which the information is used by the U.S. Patient and Trademan Coffice is to process and/or cosmisting your submission related to a patient agricultant or patient. If you do not furnish the requested process and/or cosmisting your submission related to a patient agricultant or patient. If you do not furnish the requested process and the process of the process and the process of the pro

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
  - A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiation.
  - A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record perfains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
  - A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552(m).
  - A record related to an International Application filed under the Patent Cooperation Treaty in this system of records
    may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant
    to the Patent Cooperation Treaty.
- A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or hisher designed, uturing an insection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 4d U.S.C. 2904 and 2905. Such disclosure shall be made in accordance with the GSA requisions governing inseption of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- A record from this system of records may be disclosed, as a routine use, to the public after either publication of the
  application pursuant to 35 U.S.C. 12(2) to rissuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be
  disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filled in application
  which became abandoned or in which the proceedings were terminated and which application is referenced by either a
  published application, an application open to public inspections or as issued patent.
  - A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.